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Re Applic of

Docket No.

Serial No.

Filing Date

Attorney

Kangguo Cheng, et al.

FIS920030155US1

10/604,081

6/25/03

Margaret A. Pepper

KEC CEMBAT HAX CENTER

8 2004

Attached: Preliminary Amendment and

Response to Restriction Requirement

PLEASE DELIVER TO: Jennifer M. Kennedy

**EXAMINER:** ART UNIT:

PHONE NO:

**FAX NO:** 

703-872-9306

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TERI MCDONALD

Typed or Printed Name of Person Mailing Correspondence

| AMENDMENT TRANSMITTAL LETTER (Large Entity) Applicant(s): Kangguo Cheng, et al.  |                                      |                  |                          |             |  |  | Docket No.<br>FIS92003015SUS1 |   |
|--|--------------------------------------|------------------|--------------------------|-------------|--|--|-------------------------------|---|
| Serial No.   |                                      | Filir            | ng Date                  | T T         | Examiner   |  |                               | Group Art Unit  |
| 10/604,081   |                                      | 6/25/03          |                          | Jenn        | Jennifer M. Kennedy  |  | 2812                          |   |
| Invention:   |                                      |                  |                          |             |  |  |                               |   |
|  | ORMIN                                | CRURIED PL       | ATE TRENCH C             | <sup></sup> |  |  |                               |   |
| METHOD FOR FORMING BURIED PLATE TRENCH CAPACITOR   |                                      |                  |                          |             |  |  |                               |   |
| TO THE COMMISSIONER FOR PATENTS:   |                                      |                  |                          |             |  |  |                               |   |
|  |                                      |                  |                          |             |  |  |                               |   |
| Transmitted herewith is an amendment in the above-identified application.  The fee has been calculated and is transmitted as shown below.  |                                      |                  |                          |             |  |  |                               |   |
| CLAIMS AS AMENDED  |                                      |                  |                          |             |  |  |                               |   |
|  |                                      |                  |                          |             |  |  |                               |   |
| ~  |                                      | AMENDMENT        | HIGHEST # PREV. PAID FOR | 1 .         | SER EXTRA<br>S PRESENT   | RATE   |                               | ADDITIONAL  |
| TOTAL CLAIMS   | 22                                   |                  | 28 =                     |             | 0  | × \$18.  | .00                           | FEE \$0.00  |
| INDEP. CLAIMS  | NDEP. CLAIMS 1 -                     |                  | 2 =                      |             | 0  |  |                               | \$0.00  |
| Multiple Depende   | nt Claim                             | s (check if appl | licable)                 |             | Tv4  | Li   |                               | \$0.00  |
|  |                                      |                  | TOTAL ADDITION           | NAL FEE FO  | OR THIS AMI  | ENDMENT  |                               | \$0.00  |
| <ul> <li>No additional fee is required for amendment.</li> <li>Please charge Deposit Account No. 09-0458 in the amount of</li> <li>A check in the amount of to cover the filing fee is enclosed.</li> <li>The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No.</li> <li>Any additional filing fees required under 37 C.F.R. 1,16.</li> <li>Any patent application processing fees under 37 CFR 1.17.</li> </ul> |                                      |                  |                          |             |  |  |                               |   |
| MARGARET A. ATTORNEY FOR REG. NO.: 45,000  | Si <sub>e</sub><br>PEPPER<br>R THE A |                  |                          | Dated: ,    | chanuary 28, 2<br>first class ma<br>Commissione<br>22313-1450. | t this docum<br>2004<br>ill under 37 C.<br>or for Patents, | with the F.R. 1.8, P.O. Bo    | fee is being deposited e U.S. Postal Service as and is addressed to the ex 1450. Alexandria, VA |

cc:

P.03/09

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Date of Transmission: January 28, 2004

I hereby certify that this paper and fee are being transmitted via facsimile to the Commissioner for Patents in accordance with 37 CFR Sec. 1.6(d) on the date indicated above.

Teri McDonald

Name of Person Transmitting Paper and Fee

In the United States Patent and Trademark Office

Date: January 28, 2004

In re Application Kangguo Cheng et al.

Filed: June 25, 2003

of:

For: METHOD FOR FORMING BURIED PLATE OF TRENCH

CAPACITOR

Serial Number: 10/604,081

Art Unit: 2812

Examiner: Jennifer M.

Kennedy

## PRELIMINARY AMENDMENT AND RESPONSE TO RESTRICTION REQUIREMENT

Hon. Commissioner of Patents and Trademarks Alexandria, VA 22313-1450

Sir:

In response to the Office Action mailed January 15, 2004, Applicants respectfully request entry of the following amendments and remarks into the file of the above-identified application prior to the examination thereof.

10/604,081

FIS920030155US1